

Applicant/Patentes: Peter M. DICKSTEIN et al.

Docket No.: 468182000100

Serial No./Patent No.: 09/752,630

Filed on/Issued: December 29, 2009

For: SYSTEM AND METHOD TO ORGANIZE AND MANAGE CORPORATE CAPITALIZATION AND SECURITIES

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
37 C.F.R. §§ 1.9 AND 1.27—SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below;
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: aProsper

ADDRESS OF CONCERN: 600 Allerton Street, Suite 101, Redwood City, California 94063-1504

I hereby declare that the above identified small business concern qualifies as a small business concern pursuant to 37 C.F.R. 1.27 and 13 C.F.R. § 121.802, for purposes of paying reduced fees to the United States Patent and Trademark Office, and the number of employees of the concern, including those of its affiliates, does not exceed 500 persons.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled SYSTEM AND METHOD TO ORGANIZE AND MANAGE CORPORATE CAPITALIZATION AND SECURITIES by inventor(s) Peter M. DICKSTEIN, Arlene SCHAPIRA, and Morgan J. COHEN described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified business concern are not exclusive, each individual, concern or organization having rights in the invention qualify for small entity status, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.27, or a nonprofit organization under 37 C.F.R. § 1.27.

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern or organization is listed below.

		<input type="checkbox"/> Individual <input type="checkbox"/> Small Business Concern <input type="checkbox"/> Nonprofit Organization
--	--	---

I acknowledge the duty to file, in this application or patent, notification or any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Peter M. Dickstein

TITLE OF PERSON IF OTHER THAN OWNER: President & CEO

ADDRESS OF PERSON SIGNING:

600 Allerton Street, Suite 101, Redwood City, California 94063-1504

SIGNATURE: 

DATE: 7-8-05

PTO/SB/10(10-92)

zf-1962608

1